



**CYNGOR BWRDEISTREF SIROL**  
**RHONDDA CYNON TAF**  
**COUNTY BOROUGH COUNCIL**

**COMMITTEE SUMMONS**

C Hanagan  
Service Director of Democratic Services & Communication  
Rhondda Cynon Taf County Borough Council  
The Pavilions  
Cambrian Park  
Clydach Vale, CF40 2XX

Meeting Contact: Yula Kampouropoulou - Council Business Unit, Democratic Services (07747485569)

**YOU ARE SUMMONED** to a hybrid meeting of **GOVERNANCE AND AUDIT COMMITTEE** to be held on **WEDNESDAY, 7TH DECEMBER, 2022** at **5.00 PM**.

Non-Committee Members and Members of the public may request the facility to address the Committee at their meetings on the business listed although facilitation of this request is at the discretion of the Chair. It is kindly asked that such notification is made to Democratic Services by Monday, 5 December 2022 on the contact details listed above, including stipulating whether the address will be in Welsh or English.

**AGENDA**

**Page  
No's**

**1. DECLARATION OF INTEREST**

To receive disclosures of personal interest from Members in accordance with the Code of Conduct

Note:

1. Members are requested to identify the item number and subject matter that their interest relates to and signify the nature of the personal interest: and
2. Where Members withdraw from a meeting as a consequence of the disclosure of a prejudicial interest they must notify the Chairman when they leave.

**2. MINUTES**

To receive the minutes of the previous meeting of the Governance and Audit

Committee held on 7<sup>th</sup> September 2022.

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|---|------------------|
|   | <b>5 - 12</b>    |
| <b>3. AUDIT WALES ASSURANCE AND RISK ASSESSMENT SUMMARY REPORT</b>  |                  |
|   | <b>13 - 26</b>   |
| <b>4. AUDIT WALES - TRANSFORMATIONAL LEADERSHIP PROGRAMME BOARD - BASELINE GOVERNANCE REVIEW - CWM TAF MORGANNWG REGIONAL PARTNERSHIP BOARD</b>   |                  |
|   | <b>27 - 50</b>   |
| <b>5. COUNCIL PROGRESS UPDATE - IMPLEMENTATION OF RECOMMENDATIONS REPORTED BY AUDIT WALES</b>   |                  |
|   | <b>51 - 72</b>   |
| <b>6. 2021/22 ANNUAL GOVERNANCE STATEMENT RECOMMENDATIONS - PROGRESS UPDATE</b>   |                  |
|   | <b>73 - 82</b>   |
| <b>7. DRAFT ANNUAL SELF-ASSESSMENT (INCORPORATING THE COUNCIL'S CORPORATE PERFORMANCE REPORT)</b>   |                  |
|   | <b>83 - 124</b>  |
| <b>8. PROGRESS AGAINST THE INTERNAL AUDIT RISK BASED PLAN 2022/23</b>   |                  |
|   | <b>125 - 138</b> |
| <b>9. INTERNAL AUDIT RECOMMENDATIONS</b>  |                  |
|   | <b>139 - 146</b> |
| <b>10. GOVERNANCE AND AUDIT COMMITTEE - LEARNING AND DEVELOPMENT UPDATE</b>   |                  |
| <b>11. TO CONSIDER PASSING THE FOLLOWING UNDER-MENTIONED RESOLUTION:</b>  |                  |
| <p>“That the press and public be excluded from the meeting under Section 100A(4) of the Local Government Act, 1972 (as amended) for the next item of business on the grounds that it involves the likely disclosure of exempt information as defined in paragraph 14 of Part 4 of Schedule 12A of the Act.”</p> |                  |
| <b>12. PROGRESS UPDATE AGAINST THE ANTI-FRAUD, BRIBERY AND CORRUPTION WORK PROGRAMME FOR 2022/23</b>  |                  |
|   | <b>147 - 162</b> |
| <b>13. URGENT BUSINESS</b>  |                  |
| <p>To consider any items, which the Chairman, by reason of special circumstances, is of the opinion should be considered at the meeting as a matter of urgency</p>  |                  |

**Circulation:-**

**County Borough Councillors:**

Councillor G Hopkins, Councillor M Maohoub, Councillor S Rees,  
Councillor B Stephens, Councillor L A Tomkinson, Councillor P Evans

**Lay Member:**

Mr C Jones  
Mr M Jehu  
Mr J Roszkowski

**Officers:**

Lisa Cumpston, Group Audit Manager  
Paul Mee, Chief Executive  
Barrie Davies, Director of Finance & Digital Services  
Paul Griffiths, Service Director – Finance & Improvement Services  
Christian Hanagan, Service Director of Democratic Services & Communication  
Ian Traylor, Service Director – Pensions, Procurement & Transactional Services  
Andy Wilkins, Director of Legal Services